UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/996,301	11/21/2001	Saad A. Sirohey	GEMS:0180/YOD (120621)	2561

7590	11/12/2004

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EXAMINER
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ART UNIT	PAPER NUMBER
2624	

DATE MAILED: 11/12/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

	Application No.	Applicant(s)
Office Action Summary	09/996,301	SIROHEY ET AL.
	Examiner	Art Unit
	Wenpeng Chen	2624

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE _3_ MONTH(S) FROM
THE MAILING DATE OF THIS COMMUNICATION.
- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
  Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

1)☐ Responsive to communication(s) filed on _____.

2a)☐ This action is **FINAL**.   2b)☒ This action is non-final.

3)☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

4)☒ Claim(s) _1-70_ is/are pending in the application.

   4a) Of the above claim(s) _____ is/are withdrawn from consideration.

5)☐ Claim(s) _____ is/are allowed.

6)☒ Claim(s) _1-70_ is/are rejected.

7)☐ Claim(s) _____ is/are objected to.

8)☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

9)☒ The specification is objected to by the Examiner.

10)☒ The drawing(s) filed on _21 November 2001_ is/are: a)☐ accepted or b)☒ objected to by the Examiner.

   Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).

   Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).

11)☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

12)☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).

   a)☐ All   b)☐ Some * c)☐ None of:

   1.☐ Certified copies of the priority documents have been received.

   2.☐ Certified copies of the priority documents have been received in Application No. _____.

   3.☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

   * See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

1)☒ Notice of References Cited (PTO-892)
2)☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
3)☐ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)
     Paper No(s)/Mail Date _____.

4)☐ Interview Summary (PTO-413)
     Paper No(s)/Mail Date. _____ .
5)☐ Notice of Informal Patent Application (PTO-152)
6)☐ Other: _____.

Priority

1.   The present application is a CIP of application 09/716,603, filed on 11/20/2000,

which is CIP of application 9/448,950, filed on 11/24/1999. The Examiner compared the present

application with applications 09/716,603 and 9/448,950 and found that (1) application 9/448,950

disclosed only materials related to Figs. 1-13 of the present application and (2) application

09/716,603 disclosed only materials related to Figs. 1-20 of the present application. Figs. 14-20

of the present application and related portions of specification disclose wavelet decomposition

the first time on 11/20/2000. Figs. 20-27 of the tessellating wavelet subband data the first time on

11/21/2001.

Therefore, the Examiner concluded that the disclosure date for wavelet decomposition is

11/20/2000 and disclosure date for tessellating wavelet subbands is 11/21/2001. This conclusion

will be applied for determining prior art.

2.   The benefit of CIP status of the present application is not claimed in "Transmittal

of New Application" nor "Oath or Declaration" filed on 11/21/2001.

Drawings

3.   The drawings are objected to because of the following informalities.

-- Symbols "LL(1), HL(1), LH(1), and HH(1)" in blocks 580, 582, 584, and 586 shall be changed to "LL(3), HL(3), LH(3), and HH(3)". So they are in consistence with the specification.

-- In Fig. 17, "overflow condition" is checked at step 366. When there is no overflow, step 368 still performs "generate sub-bands overflow." Is it correct?

Correction is required.

Specification

4.   The disclosure is objected to because of the following informalities.

-- In page 16, the numbers after the term "the Figs" in line 22 shall be provided.

Appropriate correction is required.

Claim Rejections - 35 USC § 112

5.   The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

6.   Claim 17 is rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the enablement requirement. The claim(s) contains subject matter which was not described in the specification in such a way as to enable one skilled in the art to which it pertains, or with which it is most nearly connected, to make and/or use the invention.

The specification fails to support the following feature recited in Claim 17:

-- dividing each tessellated block into subregions to be individually compressed based

upon entropy of each subregion.

The specification never discloses any teaching to wavelet decompose an image, tessellate

at least one decomposed set into tessellated blocks, and then further divide each tessellated block

into subregions to be individually compressed based upon an entropy of each subregion.

Furthermore, the recitation presented Claim 17 only doe not provide adequate description

to enable one skilled in the art to implement Claim 17 without experimentation, for example,

how to divide a tessellated block into subregions based upon an entropy of each subregion.

Claim Rejections - 35 USC § 102

7. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that

form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

8. Claims 1-15, 17-34, 36-46, 48-53, and 62-70 are rejected under 35 U.S.C. 102(e)

as being anticipated by Andrew (US patent 6,763,139.)

a. For Claims 1-15 and 17-18, Andrew teaches a method for handling image data,

the method comprising:

-- decomposing the image data into a plurality of data sets using lossless wavelet decomposition, wherein decomposing the image data using lossless wavelet decomposition comprises creating a hierarchical set of sub-bands, one set comprising a low frequency component at a lowest resolution level and remaining sets comprising high frequency components at successively higher resolution levels; (column 5, line 7 to column 6, line 11; column 10, lines 23-37; step 103 of Fig. 1; The DWT decomposition can be exactly (lossless) reconstructed.)

-- tessellating at least one decomposed set of the plurality of data sets into a plurality of blocks; (column 6, lines 12-23; Each decomposition is divided into tiles.)

-- compressing each tessellated block of the plurality of blocks using lossless compression; (column 6, line 23 to column 7, line 33; The entropy encoding and Huffman encoding are lossless.)

-- compiling a data stream comprising the compressed plurality of blocks arranged sequentially in a desired order based upon the decomposition and tessellation; (column 8, lines 4-13)

-- wherein the lossless wavelet decomposition comprises lossless integer wavelet decomposition; (column 10, lines 24-37; The transform coefficients are in integer representation.)

-- wherein tessellating comprises using a fixed block size for the plurality of blocks; (column 6, lines 12-23)

-- wherein tessellating comprises addressing each tessellated block with a tessellation index for each dimension of tessellation; (column 6, lines 58-64)

-- wherein addressing comprises providing a decomposition level index for identifying a desired set of the plurality of data sets; (column 6, line 65 to column 7, line 3; column 8, lines 4-12)

-- selectively transmitting at least a portion of the data stream, wherein selectively

transmitting comprises selecting the portion based upon a desired set of the plurality of data sets

and a desired group of the plurality of blocks encompassing a region of interest and wherein

selecting the portion comprises identifying the desired set and each tessellated block of the

desired group using an addressable function; (column 9, lines 22-55; The image data of the

selected tiles are transmitted to the decoder for decoding based on the selected resolution and

tiles associated with the selected region. The pointer information provides the addressable

function.)

-- wherein the data stream comprises a header, which comprises characteristics of the

decomposition, the tessellation, and the compression; (column 8, lines 29-48; column 9, lines 13-

21, 36-43; The pointer information comprises characteristics of the decomposition and the

tessellation.)

-- wherein the data stream comprises a resolution level index for each decomposed set, a

tessellation row index for each tessellated block, and a tessellation column index for each

tessellated block; (column 8, lines 29-48; column 9, lines 13-21, 36-43; The pointer information

inherently needs the labeling information shown in column 8, lines 7-10 for the resolution and

tile address to point to the starting point of each tile.)

-- wherein the desired order comprises an order of desired blocks of the tessellated

blocks; (column 8, lines 7-10; The sequence shows an order of desired tiles for each

decomposition. For example, HL3(0,0) is placed before HL(0,1). Without specified what desired

blocks are, the listed tiles are all desired tiles for future decompression.)

-- storing the data stream based on indices to the decompositions and tessellations,

wherein storing the data stream comprises storing each of the compressed plurality of blocks in

data groups based on the indices; (column 8, lines 7-10; column 16, lines 57-63; The sequence

shows the storing sequence based on the indices. For example, DC90,0) and HL3(0,1) are stored as individual groups, respectively.)

-- wherein the plurality of data sets corresponds to a plurality of resolution levels; (column 8, lines 7-10; DC represents one level. HH3, HL3, LH3 represent another level.)

-- reconstructing an image at least partially from the tessellated blocks; (column 9, lines 22-55)

-- dividing each tessellated block into subregions to be individually compressed based upon entropy of each subregion. (column 11, lines 49 to column 12, line 10; blocks 814, 818, and 820 of Fig. 8; At step 814, when a region is insignificant according to eq(1) of column 11, the value of the considered bit plane in the region is uniformly zero, thus having a low entropy. A set of single-value data has entropy of zero. So the decision at step 814 is inherently entropy-based. When the set of the data of the bit plane in the region has at least one non-zero, namely the entropy is not zero, the region is further divided.)

b.   For Claims 19-30, Andrew further teaches:

-- wherein transmitting the data stream comprises transmitting at least part of a desired one of the data sets identified by the decomposition level index, the desired one corresponding to an image resolution relatively higher than a locally stored one of the data sets; (column 8, lines 7-10; The sequence is arranged in the order of degree of resolution.)

-- wherein transmitting comprises transmitting over a network. (column 15, lines 53-64; column 16, lines 57-64)

After comparing Claims 1-15 and 18 and Claims 19-30, it is evidently that the combination of the above cited passages and the passages recited for teaching Claims 1-15 and 18 as discussed above also teaches Claims 19-30.

c.   For Claims 31-34 and 36-37, Andrew further teaches:

-- wherein the plurality of resolution levels comprise a lowest resolution level having a low frequency component and a remaining plurality of resolution levels comprising high frequency components; (Fig. 2; column 8, lines 7-10; DC is a lowest resolution level having a low frequency component. The others are the high frequency components.)

-- wherein tessellating at least part of one level comprises tessellating only the high frequency components. (Andrew's teaching includes a special case where the lowest DC band contains only a single pixel. For example, when a 128 x 128 image is decomposed into 7 resolution levels. The highest level is DC having one single element. The HL6, LH6, and HH6 also all have a single element. A single element cannot be tessellated.)

After comparing Claims 1-15 and 18 and Claims 31-34 and 36-37, it is evidently that the combination of the above cited passages and the passages recited for teaching Claims 1-15 and 18 as discussed above also teaches Claims 31-34 and 36-37.

d.   For Claims 38-46, and 48, Andrew further teaches:

-- wherein the plurality of resolution levels comprise a lowest resolution level having a low frequency component and a remaining plurality of resolution levels comprising high frequency components; (Fig. 2; column 8, lines 7-10; DC is a lowest resolution level having a low frequency component. The others are the high frequency components.)

-- wherein forming the data stream comprises providing a header having decomposition statistics and tessellation statistics for the plurality of addressable data blocks. (column 8, lines 29-48; column 9, lines 13-21, 36-43; The pointer information comprises information of Bytes of tile and triplet of tiles that are statistics related to the tessellation and the decomposition, respectively.)

After comparing Claims 1-15 and 18 and Claims 38-44, 46, and 48, it is evidently that the combination of the above cited passages and the passages recited for teaching Claims 1-15 and 18 as discussed above also teaches Claims 38-44, 46, and 48.

e.   Claims 49-53 and 62 are the corresponding systems of method described in Claims 1-15 and 18. For Claims 49-53 and 62, Andrew teaches a system (Fig. 12) to implement the methods of Claims 1-15 and 18-30, comprising:

-- an interface comprising circuits that are modules for performing functions of decomposition, tessellation. addressing blocks, compression, storage control, ordering data, and transmitting desired portions described in Claims 1-15 and 18-30;

-- a memory device configured to store the plurality of addressable data blocks. (column 16, lines 57-64)

After comparing Claims 1-15 and 18-30 and Claims 49-53 and 62, it is evidently that the combination of the above cited passages and the passages recited for teaching Claims 1-15 and 18-30 as discussed above also teaches Claims 49-53 and 62.

f.   Claims 63-70 are the corresponding computer programs of method described in Claims 1-15 and 18-30. For Claims 63-70, Andrew teaches a machine-readable medium with computer algorithms (column 15, lines 28-52) to implement the methods of Claims 1-15 and 18-30.

After comparing Claims 1-15 and 18 and Claims 63-70, it is evidently that the combination of the above cited passages and the passages recited for teaching Claims 1-15 and 18-30 as discussed above also teaches Claims 63-70.

Claim Rejections - 35 USC § 103

9.   The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all

obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in
section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that
the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary
skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the
invention was made.

10.   Claims 54-61 are rejected under 35 U.S.C. 103(a) as being unpatentable over

Andrew (US patent 6,763,139) as applied to Claim 49, and further in view of Cooke, Jr. et al.

(US patent 6,574,629.)

Andrew teaches the parent Claim 49.

However, Andrew does not teach a picture archiving and communication system (PACS)

or imaging systems recited in the above-listed claims.

Cooke teaches PACS system, comprising:

-- a PACS system; (column 33, lines 28-40)

-- an MRI system, a computed tomography system, a positron emission tomography

system, a radio fluoroscopy system, a computed radiography system, and an ultrasound system;

(Fig. 1; column 9, line 66 to column 10, line 51; column 34, lines 1-20)

-- compression image data for storage, transmission, and retrieval. (column 9, line 66 to

column 10, line 51; column 13, line 61 to column 14, line 5)

It is desirable to decode a localized portion of a medical image efficiently for viewing

and analysis. It would have been obvious to one of ordinary skill in the art, at the time of the

invention, to apply Andrew's compression system and method to compress various images used

in Cooke's PACS system because the combination facilitates retrieval interested regions in medical images for medical analysis.

11.   Claims 16, 35, and 47 are rejected under 35 U.S.C. 103(a) as being unpatentable over Andrew (US patent 6,763,139) as applied to Claims 1 and 33, and further in view of Sodagar et al. (US patent 6,157,746.)

Andrew teaches the parent Claims 1 and 33.

However, Andrew does not teach the feature related different coding for low-frequency and high-frequency components.

Sodagar teaches a wavelet compression system and method, comprising:

-- compressing the high-frequency components using actual values, and compressing the low frequency component at the lowest resolution level using prediction errors. (column 18, lines 3-24)

It is desirable to improve coding efficiency. It would have been obvious to one of ordinary skill in the art, at the time of the invention, to apply Sodagar's teaching of coding Andrew's LL band with predicting error in Andrew's compression system and method because the combination improves coding efficiency of LL band and thus the whole image.

Conclusion

12.   Any inquiry concerning this communication or earlier communications from the examiner should be directed to Wenpeng Chen whose telephone number is 703 306-2796. The examiner can normally be reached on 8:30 am - 5:00 pm.
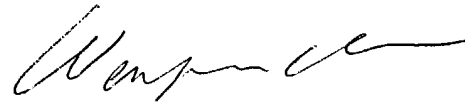
If attempts to reach the examiner by telephone are unsuccessful, the examiner's

supervisor, David K Moore can be reached on 703 308-7452. The fax phone numbers for the

organization where this application or proceeding is assigned are 703-872-9306 for regular

communications and 703-872-9306 for After Final communications. TC 2600's customer service

number is 703-306-0377.

Any inquiry of a general nature or relating to the status of this application or proceeding

should be directed to the receptionist whose telephone number is 703 305-4700.

<div style="text-align: right;">

Wenpeng Chen
Primary Examiner
Art Unit 2624

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November 8, 2004